

# A Multivariable Model for Estimating Particulate Organic Carbon Concentration in Marine Environments using Optical Backscattering and Chlorophyll-a Measurements

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# Background/Motivation

- In situ optical measurements can be useful for calibrating satellite observations, high temporal resolution monitoring of point-source locations, and understanding vertical structure.
- In situ estimates of POC have typically relied on simple models relating particulate backscattering coefficient ( $b_{bp}$ ) or chlorophyll-a concentration (Chla) to POC and can be subject to high uncertainties under various oceanic conditions.
- Chla-based estimates of POC can be accurate for oceanic surface waters; however, often fail to estimate POC when POC is non-phytoplankton dominated such as in mesopelagic or coastal waters, or for varying phytoplankton type/health.
- $b_{bp}$ -based estimates of POC can also be accurate, but POC-specific  $b_{bp}$  values vary throughout the ocean, also driven by changes to particle assemblage (e.g., composition, size distribution).

$$\begin{aligned}
 G &= \frac{\pi}{4} D^2 \text{ (sphere)} \\
 m &= n - i n' \\
 n' &= a_s \lambda / 4\pi \\
 \rho &= 2\alpha(n-1) \\
 \alpha &= \pi D / \lambda
 \end{aligned}$$

# Theory

$$b_b = Q_{bb} \frac{N}{V} G$$

$$b_b \propto \frac{N}{V} \text{ (single particle type)}$$

$$POC = \frac{N}{V} poc_i v$$

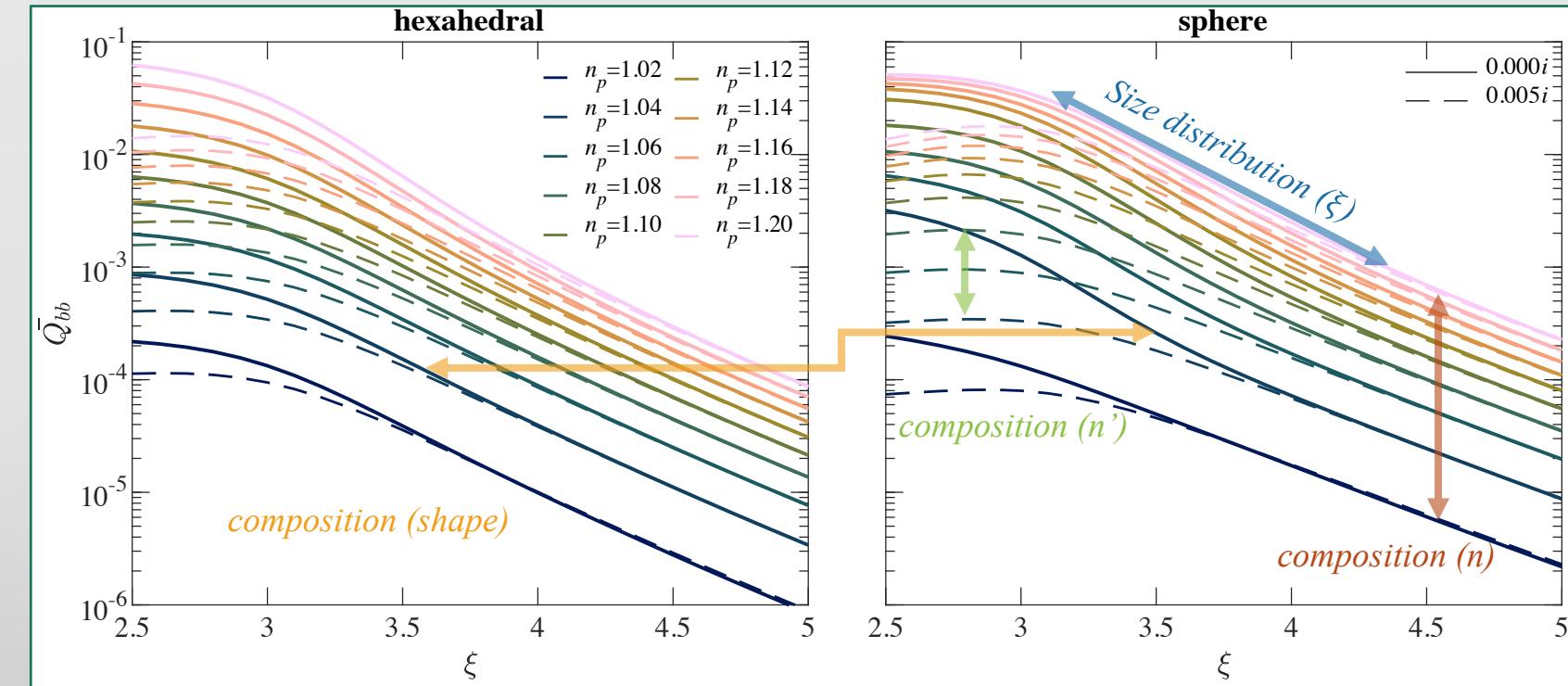
$$b_b^* = \frac{b_b}{POC}$$

$$b_b^* = \frac{3Q_{bb}}{2D poc_i} \text{ (sphere)}$$

Particle properties influencing  $b_b^*$ ?

$Q_{bb}(n, D, n')$  – sensitive to comp and size

$poc_i$  also has variability related to particle type



PSDs assume Junge shape with 200 log-spaced bins 10 nm to 100 μm (at 532 nm) with varying slope  $\xi$

**Intraparticle poc and  $Q_{bb}$  have sensitivity to composition and size distribution.**

Without accounting for these effects, estimates of POC using backscattering will be limited to systems exhibiting minimal variability in composition and size distribution.

# Research question

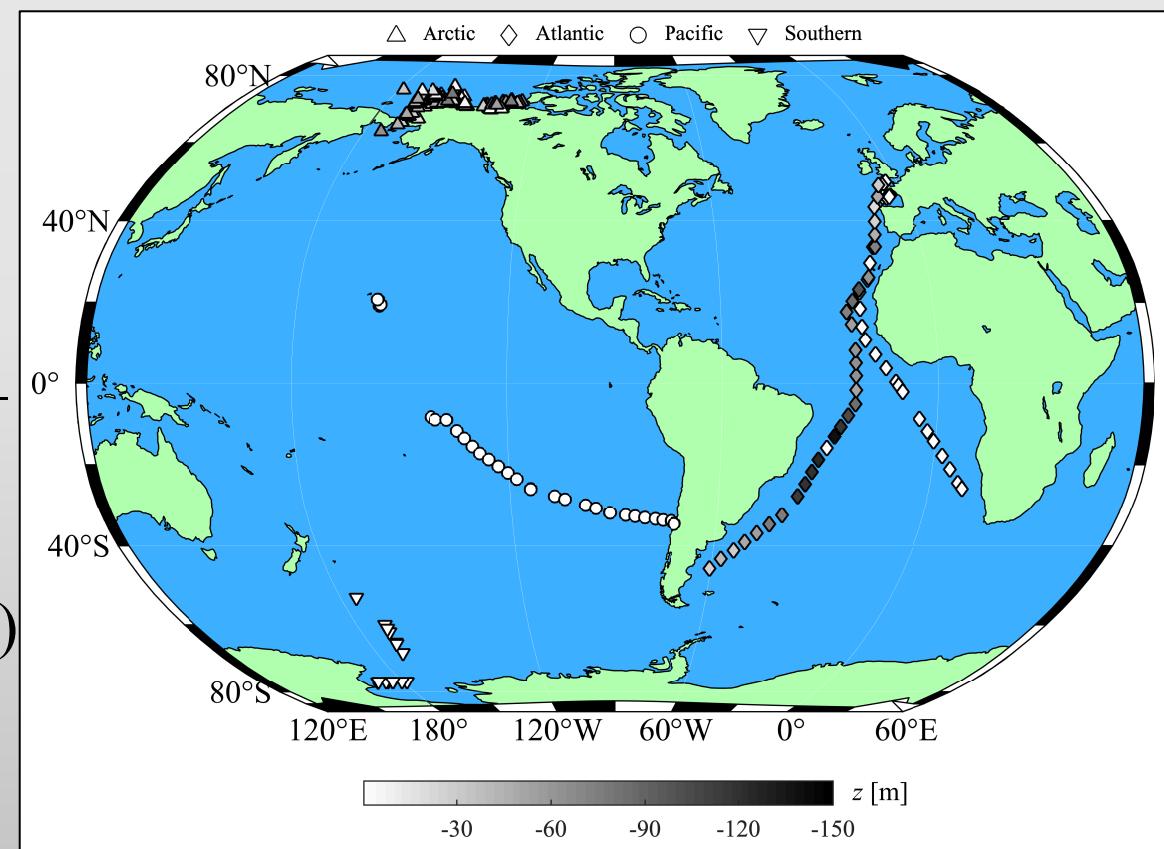
- Can the inclusion of a composition term in optically-based models improve POC estimations for various conditions encountered in the ocean (e.g., organic/inorganic, algal/nonalgal, coastal/open, epipelagic/mesopelagic)?
  - $\text{POC} = f(b_{bp})$  vs.  $\text{POC} = f(b_{bp}, \text{composition})$

# Objectives

1. Develop a more sophisticated model for the in situ determination of POC using a global database of surface and subsurface measurements
2. Apply new model to BGC-Argo data

# Dataset

- Global dataset of over 400 surface and subsurface samples from Arctic, Atlantic, Pacific, and Southern Oceans
- Spectral  $b_{bp}$  measured in situ with HydroScat instruments, generally 6–11 wavelengths  $\sim$ 400–800 nm
- POC determined with 25 mm diameter GF/Fs
- TChla from HPLC analysis (also 25 mm GF/Fs)

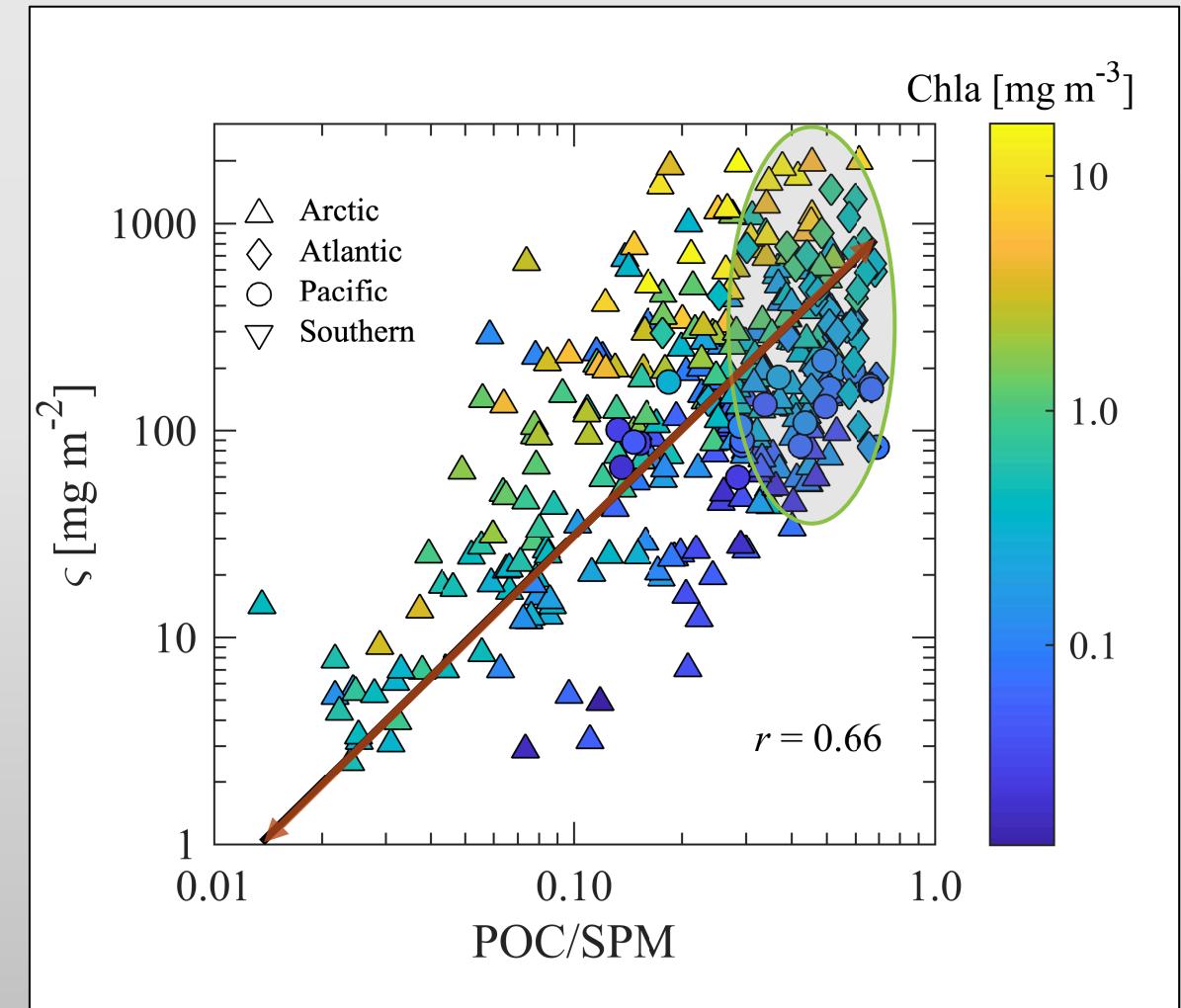


# Dataset

Ocean	Sub-Location	Cruise(s)	Year(s)	Depth [-m] range	$b_{bp}(550)$ [m <sup>-1</sup> ] range; median(iqr)	POC [mg m <sup>-3</sup> ] range; median(iqr)	Chla [mg m <sup>-3</sup> ] range; median(iqr)	$\zeta$ [mg m <sup>-2</sup> ] range; median(iqr)	POC/SPM [g g <sup>-1</sup> ] range; median(iqr)	N
Arctic	Chuckchi/Beaufort	HLY1001 HLY1101	2010 2011	1–150	0.0004–0.038; 0.004(0.010)	16–816; 138(139)	0.01–17; 0.56(2.6)	5–1988; 146(245)	0.01–0.6; 0.28(0.25)	128
	SE Beaufort	MALINA	2009	1–80	0.0003–0.34; 0.0006(0.027)	11–493; 32(57)	0.02–3.3; 0.09(0.22)	3–1101; 103(160)	0.03–0.4; 0.22(0.13)	81
	Chuckchi/Beaufort	MR17-05C	2017	1–300	0.0005–0.20; 0.0030(0.014)	23–789; 129(110)	0.02–11; 0.42(0.82)	3–869; 221(319)	0.02–0.5; 0.32(0.32)	46
	S Beaufort	PB18 PB19	2018 2019	1–17	0.0018–0.22; 0.047(0.067)	70–388; 181(93)	0.1–1.9; 0.45(0.44)	3–76; 13(15)	0.02–0.3; 0.04(0.04)	23
	All Arctic	All Arctic	2009– 2019	1–300	0.0003–0.34; 0.0034(0.012)	11–816; 117(152)	0.01–16; 0.39(1.0)	3–1988; 120(228)	0.01–0.6; 0.25(0.23)	278
Atlantic	Meridional	ANTXXIII1/1 ANTXXVI/4	2005 2010	1–140	0.0004–0.0028; 0.0008(0.0005)	20–265; 49(26)	0.09–1.5; 0.28(0.27)	77–1702; 318(341)	0.18–0.7; 0.54(0.15)	90
Pacific	SE Pacific Central Pacific	BIOSOPE KM12-10	2004 2012	1	0.0003–0.0034; 0.0008(0.0005)	12–267; 38(28)	0.02–1.5; 0.08(0.12)	58–523; 121(108)	0.13–0.7; 0.37(0.26)	42
Southern	Southern Ocean	NBP97-8 REV98 01/02	1997 1998	1	0.0003–0.0096; 0.0017(0.0017)	27–822; 131(164)	0.12–9.6; 0.81(1.1)	93–1970; 530(1279)	-	17
ALL	ALL	ALL	1997– 2019	1–300	0.0003–0.34; 0.0018(0.0058)	11–822; 81(120)	0.01–17; 0.31(0.70)	3–1988; 165(264)	0.01–0.7; 0.28(0.27)	427

# Composition parameter $\zeta$

- $\zeta = \frac{1}{b_{bp}^* C} = \frac{Chla}{b_{bp}}$
- Inverse of chlorophyll-a specific particulate backscattering coefficient
- Can be easily measured in situ
- Represents bulk changes in organic vs. inorganic –  $n$
- Can represent changes in phytoplankton composition (e.g., pico vs. micro, phytoplankton vs. detritus) –  $n$ ,  $n'$ ,  $\xi$ , shape

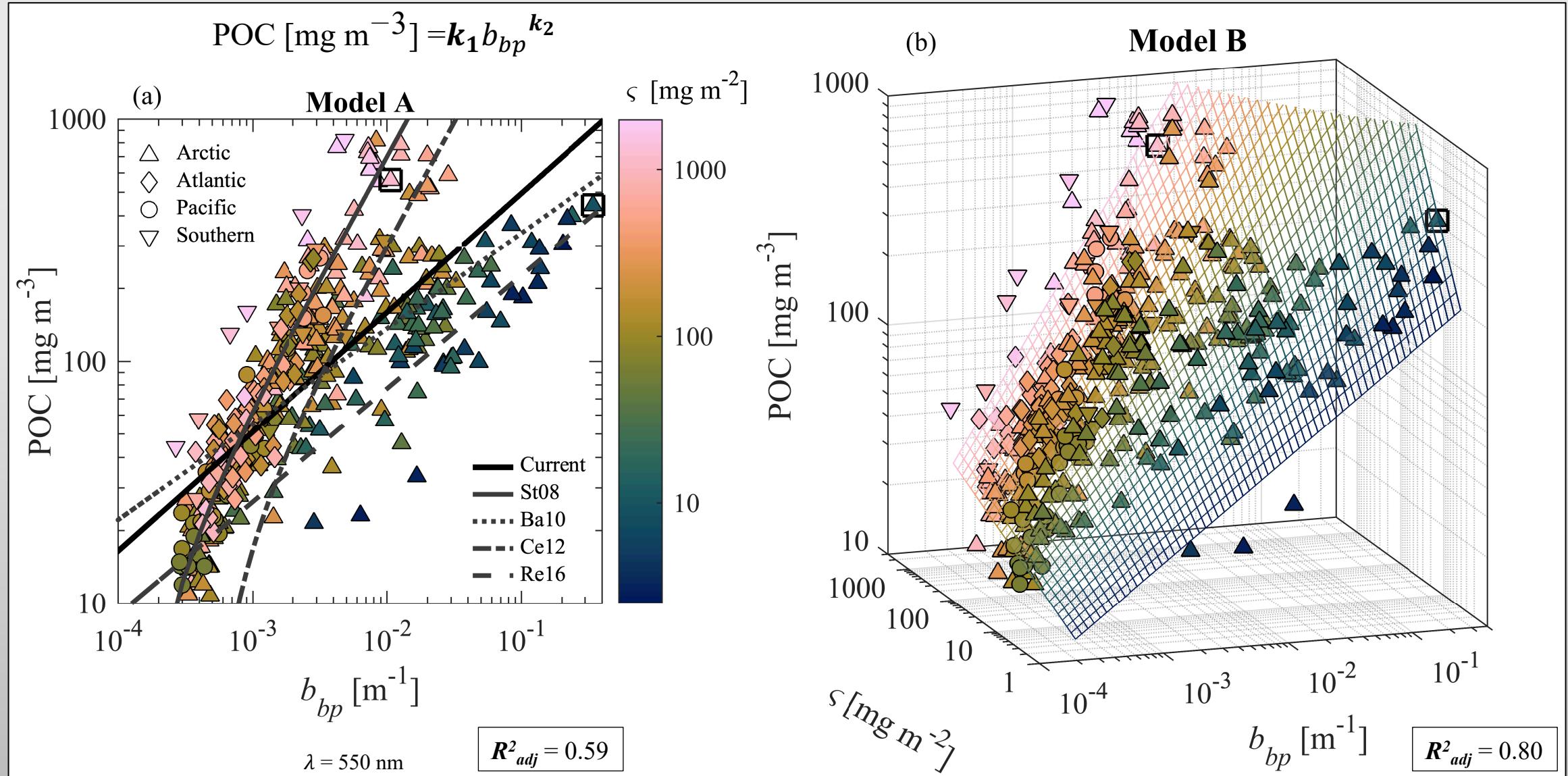


# Model development

$$\text{POC} = b_{bp} + \varsigma$$

$$\text{POC} = b_{bp} + \varsigma \times b_{bp}$$

$$\text{POC [mg m}^{-3}\text{]} = k_1 b_{bp}^{k_2} \varsigma^{k_3} \varsigma^{k_4} \log b_{bp}$$



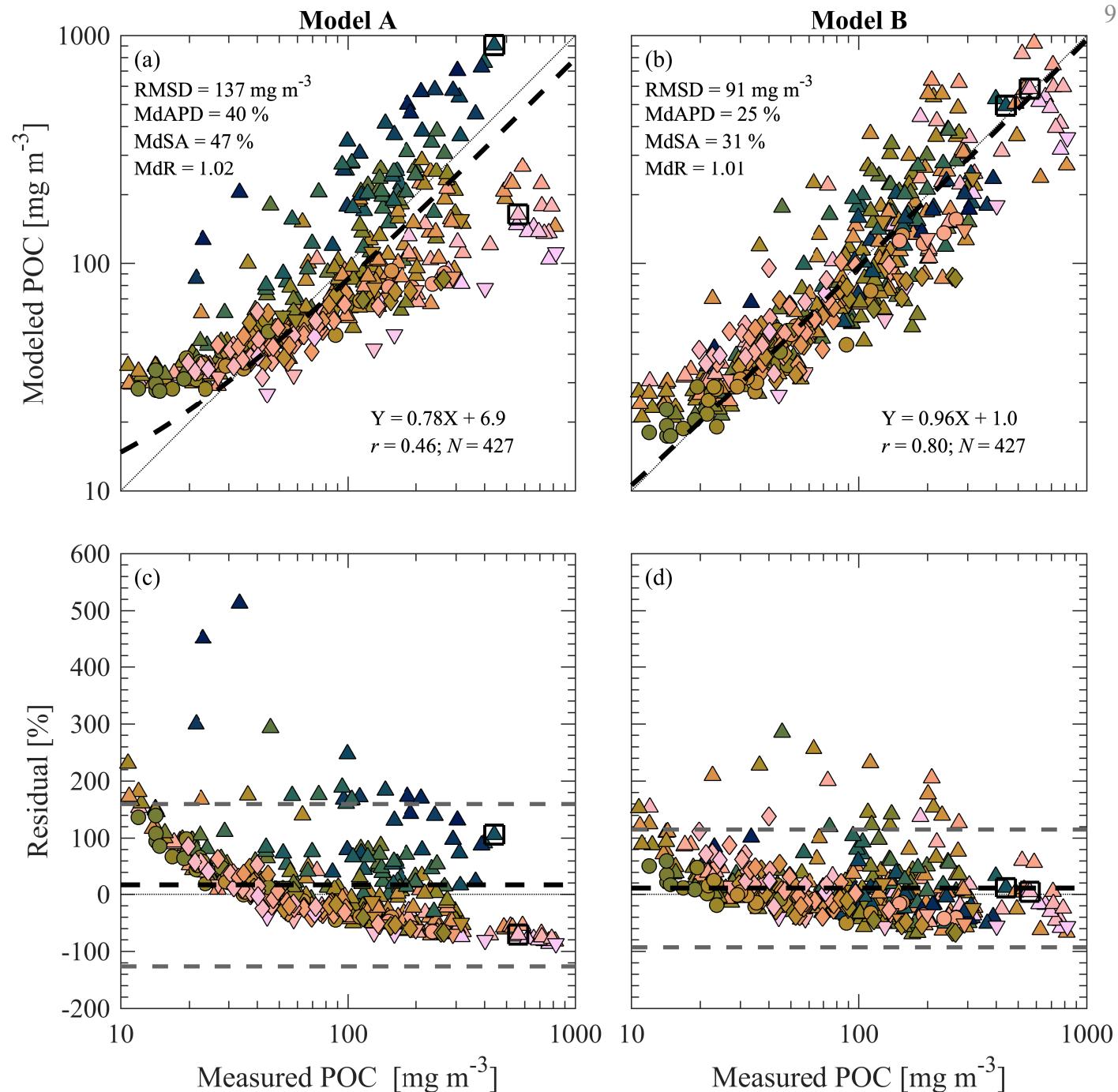
# Validation

Model A: POC [mg m<sup>-3</sup>] =  $k_1 b_{bp}^{k_2}$

Model B: POC [mg m<sup>-3</sup>] =  $k_1 b_{bp}^{k_2} \zeta^{k_3} \zeta^{k_4} \log b_{bp}$

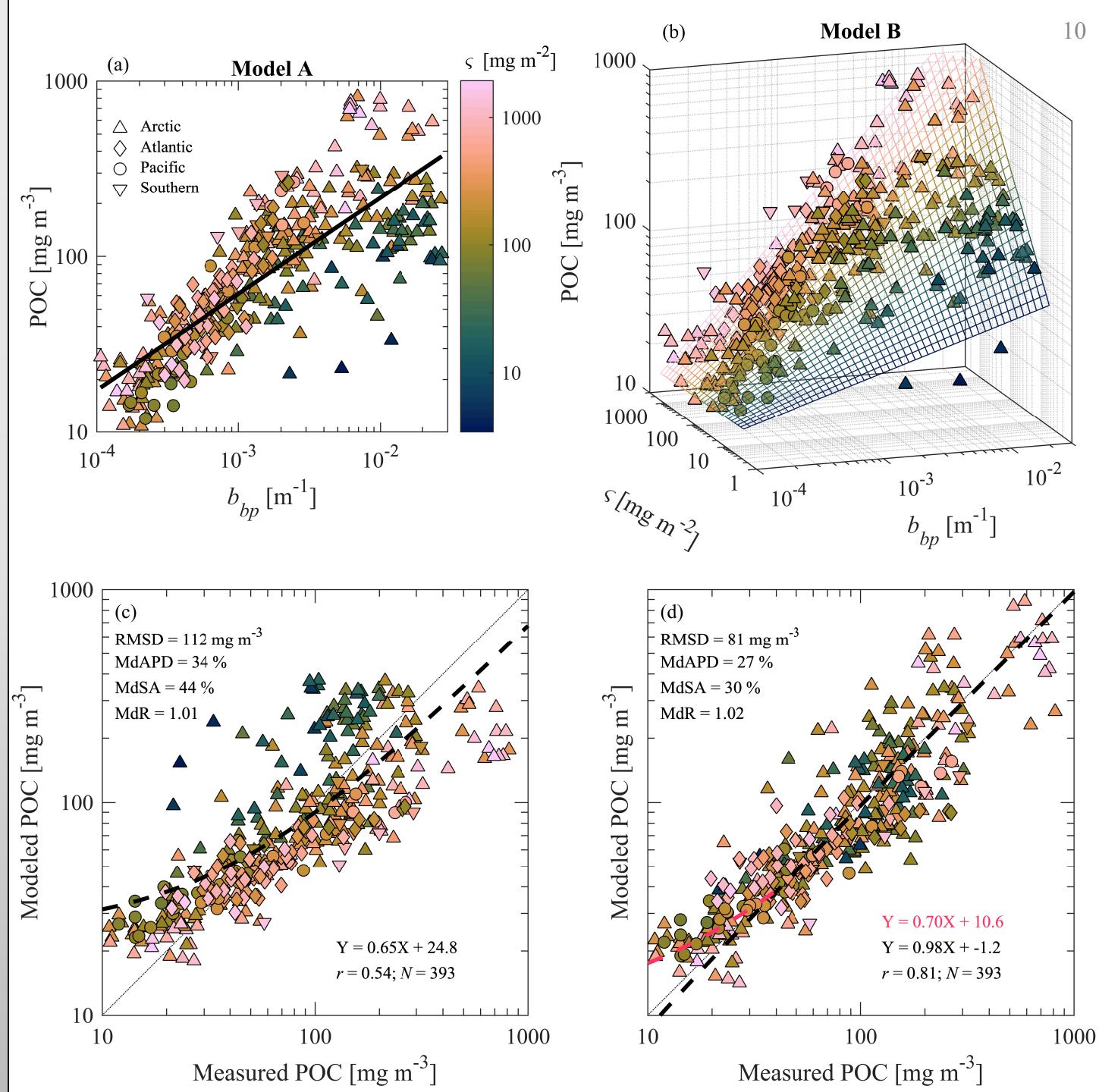
Model-assessment variables	
<i>N</i>	Number of samples
<i>x<sub>i</sub> or y<sub>i</sub></i>	Measured value for sample i of N
<i>x or y</i>	Mean value; $x = \frac{1}{N} \sum_{i=1}^N x_i$ , and likewise for <i>y</i>
<i>O<sub>i</sub> or P<sub>i</sub></i>	Observed or model-predicted value for sample i of N
<i>r</i>	Pearson correlation coefficient: $\frac{\sum_{i=1}^N (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^N (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^N (y_i - \bar{y})^2}}$
<i>Md</i>	Median operator
<b>RMSD</b>	Root mean square deviation; $\sqrt{\frac{1}{N} \sum_{i=1}^N (P_i - O_i)^2}$
<b>MdAPD</b>	Median absolute percent difference; $Md \left  \frac{P_i - O_i}{O_i} \right  \times 100\%$
<b>MdSA</b>	Median symmetric accuracy; $(10^{Md \left  \log_{10} \frac{P_i}{O_i} \right } - 1) \times 100\%$
<b>MdR</b>	Median ratio; $Md \left( \frac{P_i}{O_i} \right)$

Model B has improvements in all statistical metrics evaluated!



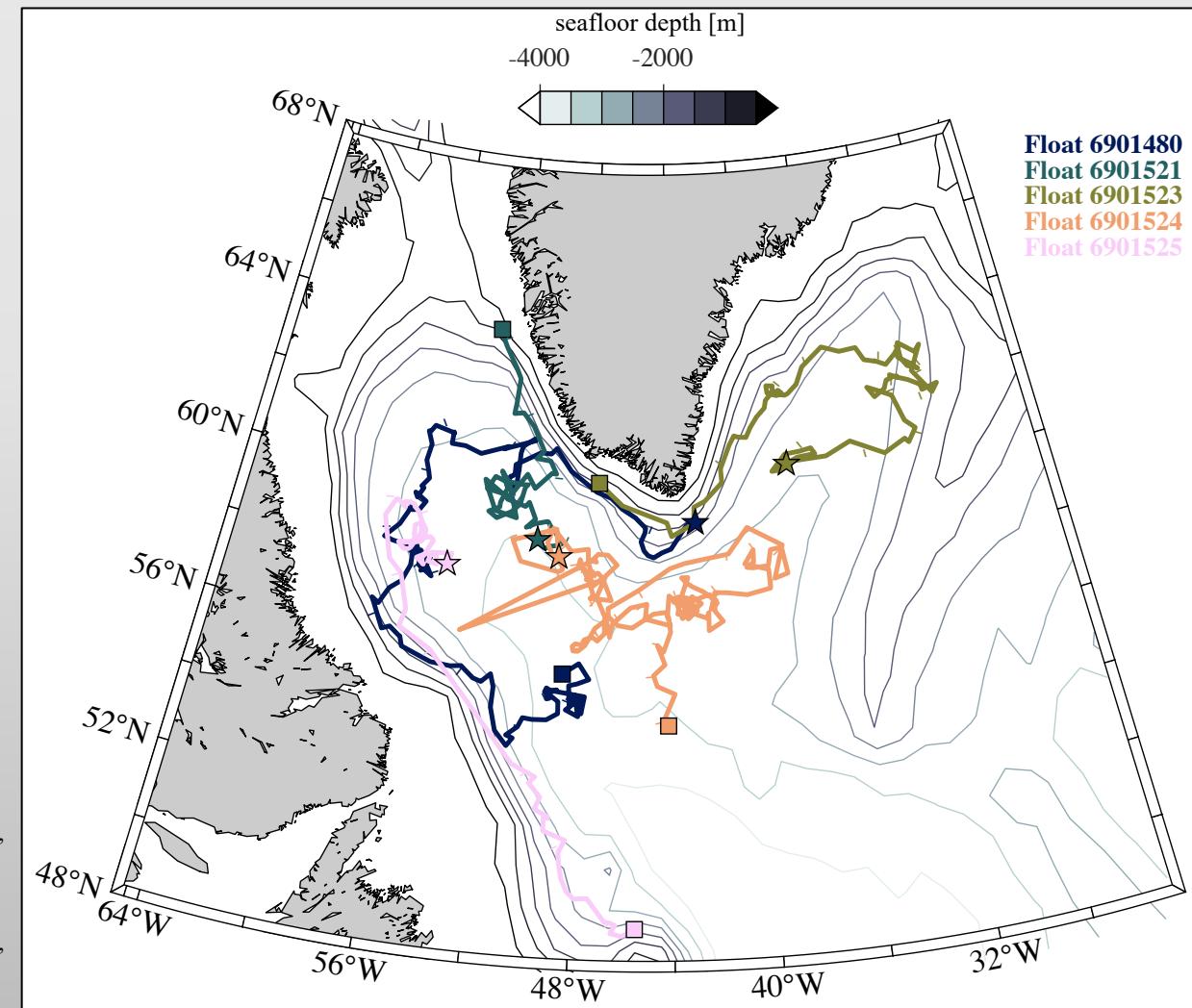
# Reformulation for application to BGC-Argo

- $\lambda = 700 \text{ nm}$
- Reduced range of  $b_{bp}$
- Bias term for low modeled POC
  - Consequential for mesopelagic POC



# Application to BGC-Argo floats deployed in Labrador Sea (LAS)

- Excellent QC'ed dataset provided by Barbieux, Organelli, Claustre and others
- Over 500 vertical profiles of  $b_{bp}$ , Chla F, PAR, and temp/sal from about May 2013 to December 2015.
- Applied our global models to derive vertical profiles of POC
  - Focus analysis on multivariable Model B

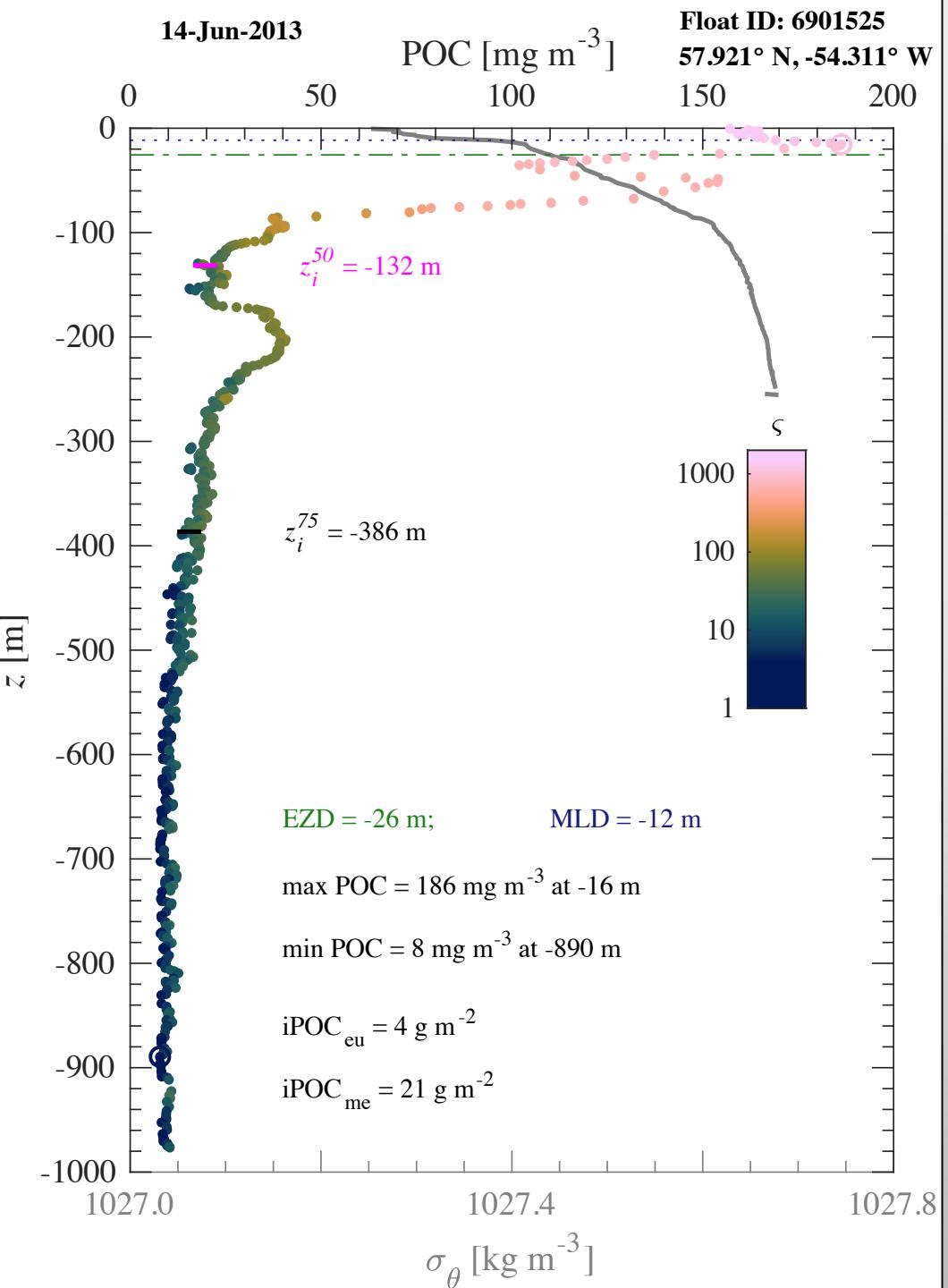


Barbieux, M., Organelli, E., Claustre, H., Schmechtig, C., Poteau, A., Boss, E., Bricaud, A., Briggs, N., Dall'Olmo, G., D'Ortenzio, F., Prieur, L., Roesler, C., Uitz, J., Xing, X. (2017). A global database of vertical profiles derived from Biogeochemical Argo float measurements for biogeochemical and bio-optical applications. SEANOE. <https://doi.org/10.17882/49388>

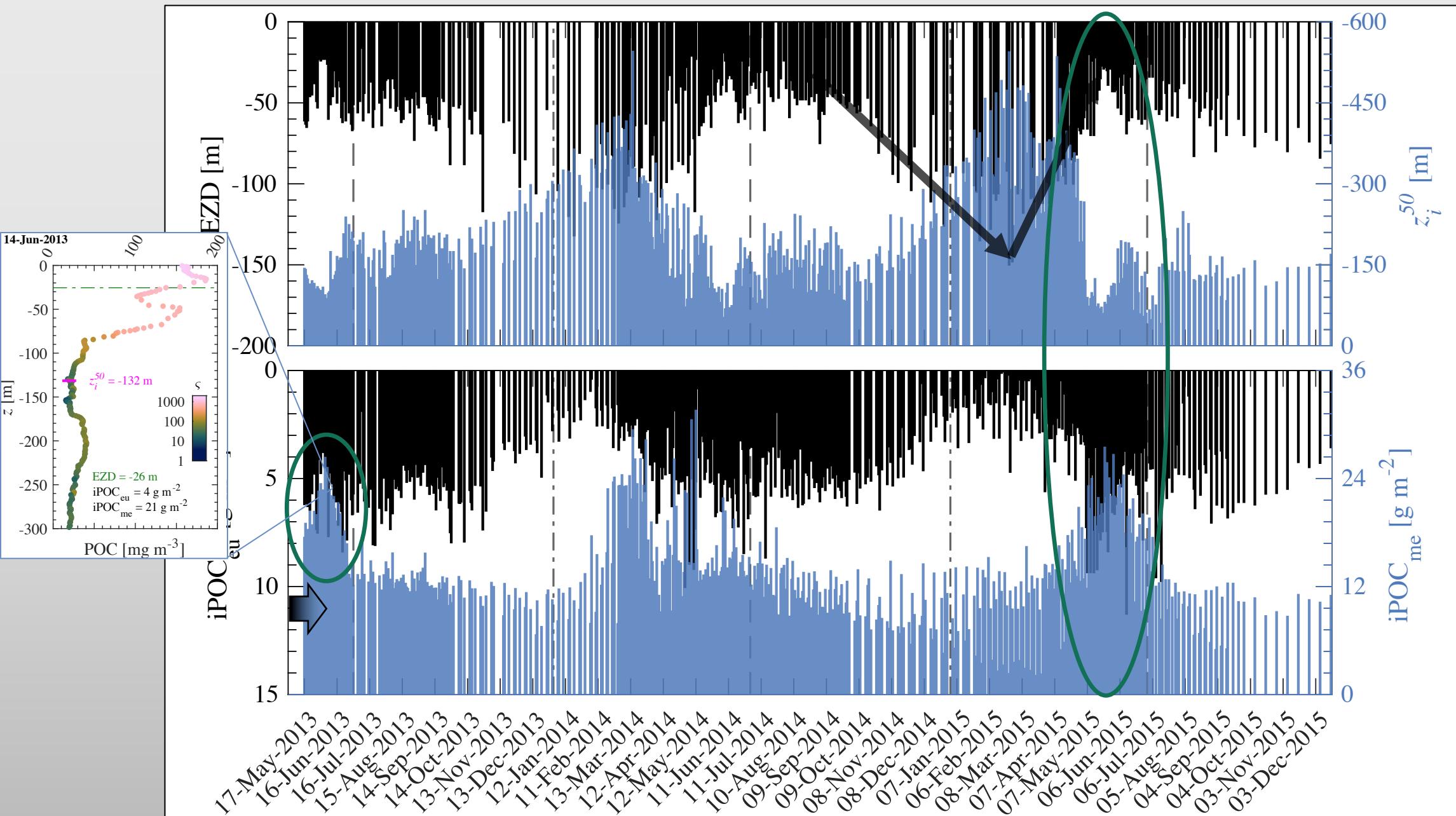
Organelli, E., Barbieux, M., Claustre, H., Schmechtig, C., Poteau, A., Bricaud, A., Boss, E., Briggs, N., Dall'Olmo, G., D'Ortenzio, F., Leymarie, E., Mangin, A., Obolensky, G., Penkerc'h, C., Prieur, L., Roesler, C., Serra, R., Uitz, J., and Xing, X. (2017) Two databases derived from BGC-Argo float measurements for marine biogeochemical and bio-optical applications, Earth Syst. Sci. Data, 9, 861–880, <https://doi.org/10.5194/essd-9-861-2017>

# Example profile and parameters

- $\text{PAR}(\text{EZD}) = \frac{\text{PAR}(0^-)}{100}$
- $i\text{POC}_{\text{eu}} = \int_{z=1}^{z=\text{EZD}} \text{POC } dz$
- $i\text{POC}_{\text{me}} = \int_{z=\text{EZD}}^{z=1000} \text{POC } dz$
- $\frac{X}{100} = \frac{\int_{z=1}^{z=z_i^X} \text{POC } dz}{\int_{z=1}^{z=1000} \text{POC } dz}$

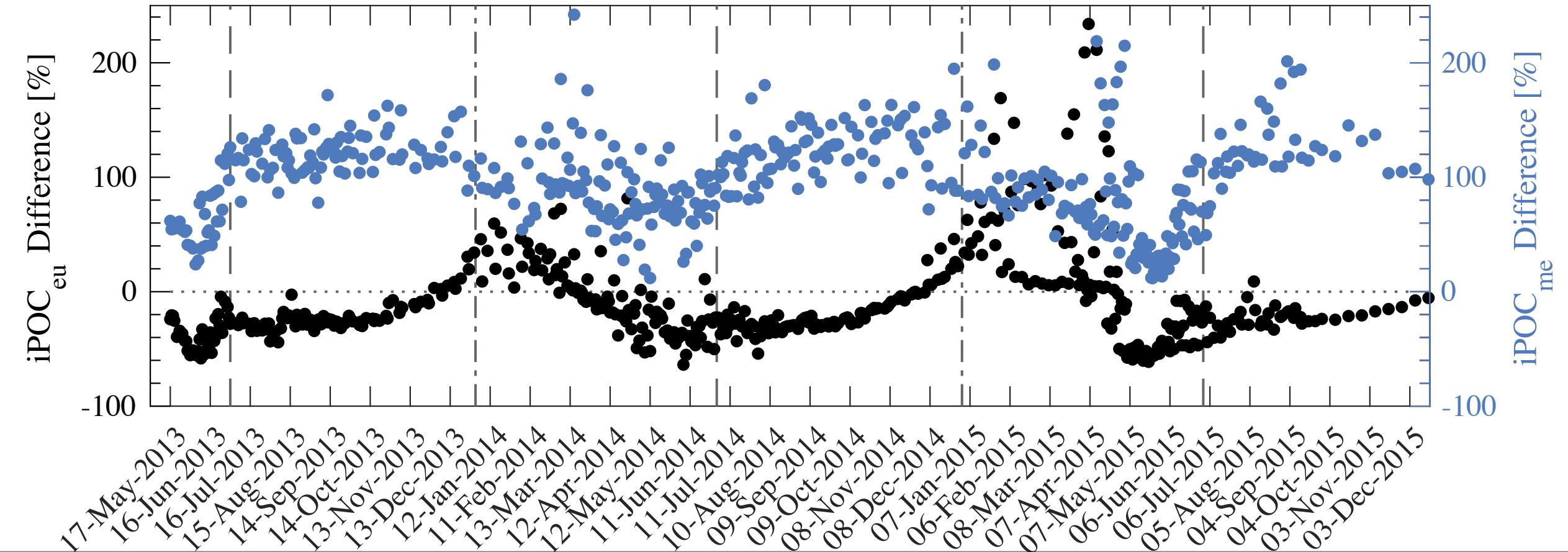


# LAS summary



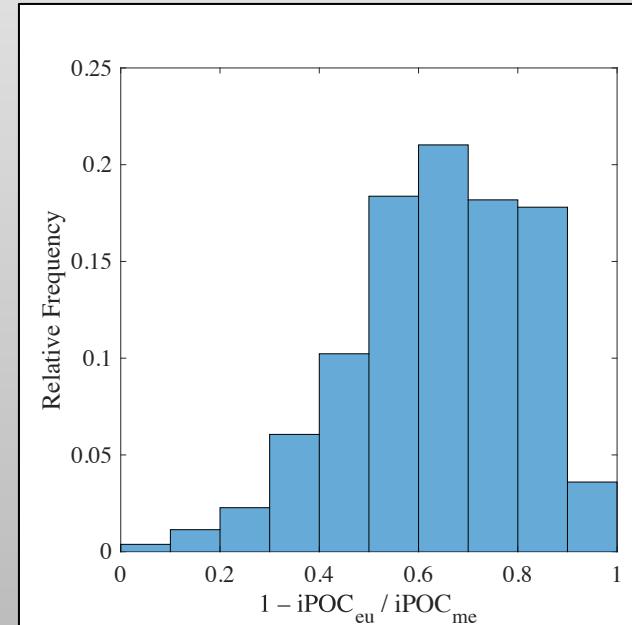
# Model comparison

$(iPOC_{eu}^A - iPOC_{eu}^B) / iPOC_{eu}^B$   
 $(iPOC_{me}^A - iPOC_{me}^B) / iPOC_{me}^B$



# Summary

- A multivariable model to estimate POC from optics is more effective than a univariate model for a global dataset of contrasting surface and subsurface water samples
  - Errors less than 30% and minimal bias
  - Model has been specially formulated for use with BGC-Argo
- We observe notable increase in  $i\text{POC}_{\text{me}}$  during late spring in LAS, sometimes time-delayed from increases in  $i\text{POC}_{\text{eu}}$  (evidence of vertical transport)
- Mesopelagic POC is a significant part (typically 50–90%) of POC within the upper 1000 m of the water column
  - $i\text{POC}_{\text{me}}$  values generally range 5–15 g m<sup>2</sup> in winter months and 10–25 g m<sup>2</sup> in late spring/early summer



# Knowledge gaps and priorities

- 1–5 year – Validation of POC estimates with independent data, especially in deep mesopelagic waters
- 1–5 year – What more can we learn from high resolution vertical profiles of POC?
  - Ways to parameterize profiles for analysis and how to understand horizontal advection
- 1–5+ year – Centralized pipeline of BGC-Argo optical data, analogous to some satellites, with QC'd data and standard processing protocols
  - Routine implementation of POC data product?
- 5–10+ year – Global network of floats, gliders, wirewalkers, and moorings with optics
- 5–10 year – Implementation/development of new “low-cost” sensors to target composition/size more explicitly on autonomous platforms
  - see Koestner et al. 2020 and 2021 in Applied Optics



## Acknowledgements

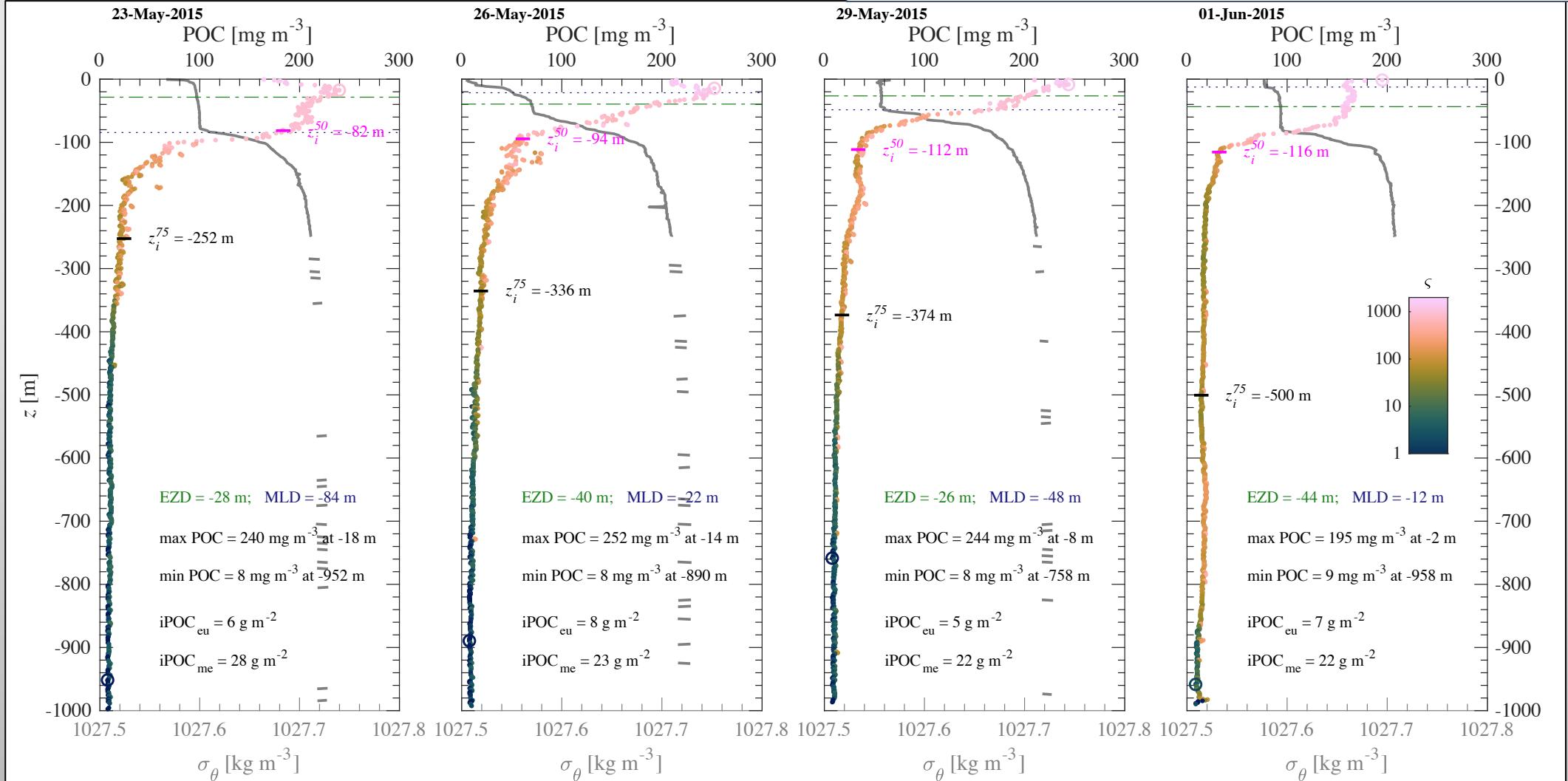
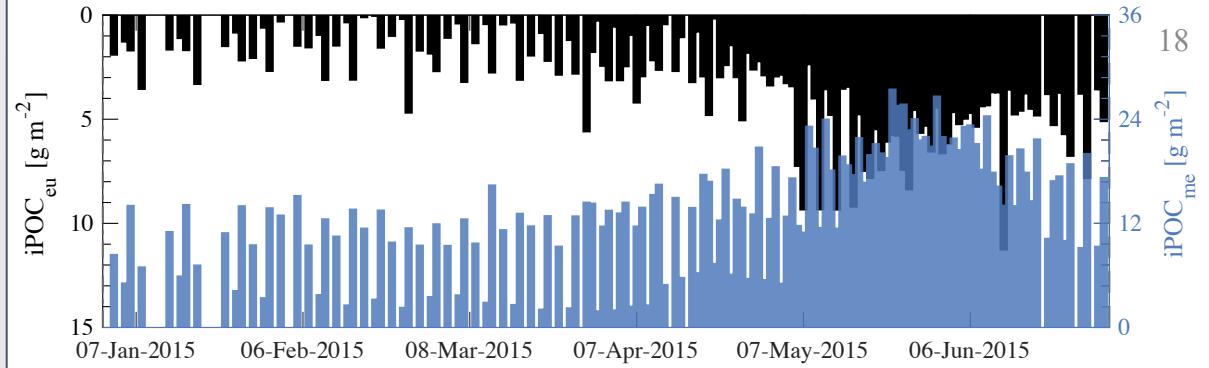
- Ocean Optics Research Lab at SIO
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  - Rick Reynolds
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  - Steve Ackleson
  - Ahmed El-Habashi
- NRC Research Associateship Program
- Cruise operators/crew/scientists
  - Barbeux, Organelli, Claustre and many others working on deploying and processing data from BGC-Argos
  - Workshop organizers and participants!
  - Wife and cats!



Questions?  
Feedback?

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# Supplemental: Example profiles May 2015



# Supplemental: Uncertainty Estimates

Three sets of nonparametric box plots are presented based on data from each month, left represents lower estimates of POC based on lower 95% confidence interval of estimations, right represents upper estimates of POC based on upper 95% confidence interval of estimations, and middle represents derivations from best fit Model B coefficients.

Seasonal pattern clearly detected, May is a dynamic month for LAS!  
 Larger range of uncertainty for iPOCme because integrating over much larger depth

